

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Russell et al.  
Serial No.: Not Yet Assigned  
Filing Date: Concurrently Herewith  
Title: Improvements in or Relating to  
Expression of Immunogenic Substances

Group Art Unit:

Examiner:

Atty Docket: 3789/77553

Asst. Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 1.821(f)

Sir:

In accordance with 37 C.F.R. § 1.821(f) I hereby state that the sheets and the computer readable form of the Sequence Listing submitted herewith in the above-identified patent application are supported in the application, contain no new matter, and contain the same substantive sequence information.

11-20-98  
Date

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09197056-11098

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Russell, Stephen J. , Alvarez-Vallina, Luis, and Agha-Mohammadi, Siamak

Serial No.: (not yet assigned)

Filed: (concurrently herewith)

Entitled: Improvements in or Relating to Expression of Immunogenic Substances

Attorney Docket No.: 3789/77553

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. §§1.9(f) AND 1.27(b)) - NONPROFIT ORGANIZATION

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Medical Research Council

ADDRESS OF ORGANIZATION: 20 Park Crescent, London, W1N 4AL, ENGLAND

TYPE OF ORGANIZATION:

- ☐ University or other institution of higher education  
☐ Tax Exempt Organization under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c)(3))  
☐ Nonprofit Scientific or Educational Institution under laws of a State of the United States

NAME OF STATE:

CITATION OF STATE LAW:

- ☐ Organization that would qualify as a Tax Exempt Organization under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c)(3)), if located in the United States  
☒ Organization that would qualify as a Nonprofit Scientific or Educational Institution under the laws of a State of the United States, if located in the United States

IMPROVEMENTS IN OR RELATING TO EXPRESSION OF IMMUNOGENIC SUBSTANCES

by inventor(s) Stephen James Russell, Luis Alvarez-Vallina, and Siamak Agha-Mohammadi  
described in

- ☒ the specification filed herewith  
☐ Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with said nonprofit organization with regard to the invention described in the patent or application identified above.

Dr. Martin Wood

NAME OF PERSON SIGNING

Head of Technology Transfer Group

Medical Research Council

TITLE IN ORGANIZATION

20 Park Crescent, London W1N 4AL, UNITED KINGDOM

ADDRESS

Signature

Date

05197055-112098